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PTO/SB/21 (05-03)

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 09/901,535

Filing Date July 9, 2001

First Named Inventor OLSON, LORIN

Group Art Unit 1732

Examiner Name FONTAINE, MONICA A.

Total Number of Pages in This Submission

Attorney Docket Number LIFE-024

### ENCLOSURES (check all that apply)

|  |   |  |
|--|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment / Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Documents<br><input type="checkbox"/> Response to Missing Parts/<br>Incomplete Application<br><input type="checkbox"/> Response to Missing Parts<br>under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers<br>(for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a<br>Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br>Change of Correspondence<br>Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) | <input type="checkbox"/> After Allowance Communication<br>to Group<br><input type="checkbox"/> Appeal Communication to Board<br>of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group<br>(Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please<br>identify below):<br>1) PTO/SB/08A (1 pg.)<br>2) 1 Cited Reference<br>3) Return Postcard |
| <p>Remarks</p>   |   |  |

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Signing Attorney/Agent  
(Reg. No.)

CAROL M. LASALLE, 39,740  
BOZICEVIC, FIELD & FRANCIS LLP

Signature

Date

June 2, 2003

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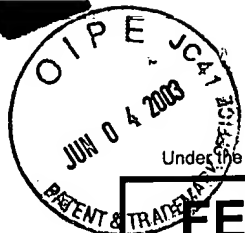
Cindy Kim Hoang

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Date June 2, 2003

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| FEE TRANSMITTAL<br>for FY 2003  |  | Complete if Known                        |                     |      |
|---|--|--|---------------------|------|
|   |  | Application Number                       | 09/901,535          |      |
| Effective 01/01/2003. Patent fees are subject to annual revision.   |  | Filing Date                              | July 9, 2001        |      |
|   |  | First Named Inventor                     | OLSON, LORIN        |      |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27  |  | Examiner Name                            | FONTAINE, MONICA A. |      |
| TOTAL AMOUNT OF PAYMENT (\$)  |  | 180.00                                   | Art Unit            | 1732 |
|   |  | Attorney Docket No.                      | LIFE-024            |      |
| METHOD OF PAYMENT (check all that apply)  |  | FEE CALCULATION (continued)              |                     |      |
| <input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None |  | 3. ADDITIONAL FEES                       |                     |      |
| <input checked="" type="checkbox"/> Deposit Account:  |  | Large Entity Fee Code (\$)               |                     |      |
| Deposit Account Number 50-0815  |  | Small Entity Fee Code (\$)               |                     |      |
| Deposit Account Name Bozicevic, Field & Francis LLP   |  | Fee Description                          |                     |      |
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| Small Entity Fee Code (\$)  |  |  |                     |      |
| Fee Description   |  |  |                     |      |
| Fee Paid  |  |  |                     |      |
| 1001 750 2001 375 Utility filing fee  |  |  |                     |      |
| 1002 330 2002 165 Design filing fee   |  |  |                     |      |
| 1003 520 2003 260 Plant filing fee  |  |  |                     |      |
| 1004 750 2004 375 Reissue filing fee  |  |  |                     |      |
| 1005 160 2005 80 Provisional filing fee   |  |  |                     |      |
| SUBTOTAL (1)  |  | 0.00                                     |                     |      |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE   |  |  |                     |      |
| Extra Claims Fee from below   |  | Fee Paid                                 |                     |      |
| Total Claims -20** = x =  |  |  |                     |      |
| Indep. Claims -3** = x =  |  |  |                     |      |
| Multiple Dependent =  |  |  |                     |      |
| Large Entity Fee Code (\$)  |  |  |                     |      |
| Small Entity Fee Code (\$)  |  |  |                     |      |
| Fee Description   |  |  |                     |      |
| 1202 18 2202 9 Claims in excess of 20   |  |  |                     |      |
| 1201 84 2201 42 Independent claims in excess of 3   |  |  |                     |      |
| 1203 280 2203 140 Multiple dependent claim, if not paid   |  |  |                     |      |
| 1204 84 2204 42 ** Reissue independent claims over original patent  |  |  |                     |      |
| 1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent   |  |  |                     |      |
| SUBTOTAL (2) \$   |  | 0.00                                     |                     |      |
| **or number previously paid, if greater; For Reissues, see above.   |  |  |                     |      |
| SUBMITTED BY  |  | Complete (if applicable)                 |                     |      |
| Name (Print/Type) Carol M. LaSalle  |  | Registration No. (Attorney/Agent) 39,740 |                     |      |
| Signature [Signature]   |  | Telephone (650) 327-3400                 |                     |      |
|   |  | Date 05/30/2003                          |                     |      |

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| Signature             | <i>Cindy Hoang</i> | Date | 6/2/02 |

SUPPLEMENTAL  
 INFORMATION DISCLOSURE  
 STATEMENT

|                      |   |
|----------------------|---|
| Attorney Docket      | LIFE-024  |
| First Named Inventor | OLSON, LORIN  |
| Application Number   | 09/901,535  |
| Filing Date          | July 9, 2001  |
| Group Art Unit       | 1732  |
| Examiner Name        | FONTAINE, MONICA A.   |
| Title:               | "IMPROVED MICRO-NEEDLES AND METHODS OF MANUFACTURE AND USE THEREOF" |

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Sir:

This is an Information Disclosure Statement submitted for the Examiner's consideration. This is being filed *after* three months of the filing date of this national application or the date of entry of the national stage as set forth in §1.491 in an international application or *after* the mailing date of the first Office Action on the merits, whichever event occurred last, but *before* the mailing date of either a final action under §1.113 or a notice of allowance under §1.311, whichever occurs first.

A Form PTO-SB/08A listing the references accompanies this paper. Applicants would appreciate the Examiner's initialing and returning the form to indicate that the references have been reviewed and made of record.

- ☒ All of the references identified herein are being submitted prior to the final determination on patentability by the Office.
- ☐ All of the references identified herein were cited in an International (PCT) Search Report dated \*, in a PCT application corresponding to the above-identified U.S. application. A copy of the Search Report, including an indication of the purported relevance of the cited documents, is enclosed herewith. Copies of the references are submitted herewith.
- ☐ All of the references identified herein were disclosed in parent application Serial No. and, as such, copies thereof are not included pursuant to the provisions of 37 C.F.R. §1.98(d), except if noted below.

This Information Disclosure Statement is not intended as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one of the above references constitutes prior art to the present application within the meaning of 35 U.S.C. §102.

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Respectfully submitted,  
BOZICEVIC, FIELD & FRANCIS LLP

Date: \_\_\_\_\_

6/2/03

By: \_\_\_\_\_



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